



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/961,193	09/20/2001	Bulent M. Basol	042496 0269244

PILLSBURY WINTHROP LLP
1600 Tysons Boulevard
McLean, VA 22102



CONFIRMATION NO. 4599
FORMALITIES LETTER



OC000000007701408

Date Mailed: 03/22/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/22/2002 to the Notice to File Missing Parts (Notice) mailed 10/25/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

The following item(s) appear to have been omitted from the application:

- Figure(s) **Figs: 1, 2A, 2B, 2C** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition, \$130.00 petition fee (37 CFR 1.17(h)) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in

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OFFICE OF PETITIONS

Adjustment Date: 08/05/2002
04/17/2002
01 FC:122
Repln. Ref: 08/05/2002
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the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*

Ch. Charles Chaudhary

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03CO #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Basol	
Serial No. 09/961,193 Filed: September 20, 2001	Atty. Docket No. 042496/0269244 NT-225(U)
Plating Method And Apparatus For Controlling Deposition on Predetermined Portions of a Workpiece	San Francisco, California CERTIFICATE OF MAILING <i>I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to the Assistant Commissioner of Patents, Washington, D.C.</i> 20231 on April 3, 2002 Signed <u>Kathleen M. Smith</u> Kathleen M. Smith

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Assistant Commissioner of Patents
 Washington, DC 20231
 BOX: Office of Initial Patent Examination

Sir:

In response to the Notice of Incomplete Reply mailed March 22, 2002, requiring substitute drawings and noting the omission of items, a copy of which is enclosed, Applicants herewith submit the following:

- ☒ 5 sheets of substitute drawings
- ☐ Executed Power of Attorney by Assignee and Exclusion of Inventor
- ☐ Executed Combined Declaration and Power of Attorney of Inventor
- ☐ Assignment and Recordation Cover Sheet
- ☐ Request to Correct Filing Receipt

Petition Regarding Notice of Omitted Items

Applicant contends that the omitted items, **Figs. 1, 2A, 2B and 2C** were in fact deposited with the non-provisional application papers, as evidenced (1) by the Express Mail Certificate and Patent Application Transmittal filed September 20, 2001 indicating that five drawing sheets were enclosed, and (2) the stamped receipt postcard from the Patent Office acknowledging receipt of a total of five drawing sheets. Copies of Items (1) and (2) are attached. The requisite \$130.00 petition fee accompanies this response.

The Commissioner is authorized to charge any additional fees which may be due in connection with this Communication, including extension fees if applicable, to Deposit Account No. 03-3975 (Order No. 042496/0269244).

Respectfully submitted
 PILLSBURY WINTHROP LLP

By David A. Jakob
 David A. Jakob, Reg. No. 32,995

1600 Tysons Boulevard
 McLean, Virginia 22102
 650-233-4609

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING APPLICATION Under Rule 53(a),(b)&(f)
(No Filing Fee or Declaration); RULE 53(f) NO DECLARATION

PATENT
APPLICATION

Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, DC 20231



Atty. Dkt.

042496/0269244

NT-225

C/M#

Client Ref

Date: September 20, 2001

Sir:

1. This is a Request for filing a new utility PATENT APPLICATION entitled *Plating Method and Apparatus for Controlling Deposition on Predetermined Portions of a Workpiece* without a filing fee or Oath/Declaration but for which is enclosed the following:

2. 49 pages of spec, claims and abstract;
3. 72 claims; and
4. 5 sheets of Drawings: informal; 8½x11 sized paper (Figs. 1 to 6ee)
5. This application is made by the following named inventor:

Inventor's Name **Bulent M. BASOL**
Residence (City, State): **Manhattan Beach, California**
Post Office Address: **3001 Maple Avenue
Manhattan Beach, California 90266**

Country of Citizenship: United States of America

PILLSBURY WINTHROP LLP

By: David A. Jakopin, Reg. No. 32,995

1600 Tysons Boulevard
McLean, Virginia 22102
Tel: (650) 233-4790
Fax: (650) 233-4545
Atty/Sec: DAJ/lfh

Express Mail Label:
Date of Deposit:

EL 904971615 US
September 20, 2001

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 35 CFR 1.10 on the above date, addressed to Asst. Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Leslie Hoffmann

EL 904971615US

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Patent Appln. No.

PW File No. 42496/0269244

By: DAS / eph

In the Matter of the Application of:

NT-225 U

Title: Plating Method and Apparatus for Controlling Deposition
on Predetermined Portions of a Workpiece

Date Mailed:

September 20, 2001

Due Date:

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- ☒ Transmittal letter (copy)
☒ Patent Application utility
☒ 49 pgs. Specification including 32 Claims, Abstract
☒ Drawings 5 sheets formal ☒ informal
☐ Oath/Declaration
☐ Assignment w/form PTO-1595
☐ Power of Attorney
☐ Small Entity Statement
☐ Deposit Account Authorization (in duplicate)
☐ Information Disclosure Statement, PTO-1449 w/ refs.
☐ Check \$
☐ Amendment/Response
☐ Request for Extension of Time (months) (patent)
☐ Terminal Disclaimer
☐ Notice of Appeal
☐ Appeal Brief (in triplicate)
☐ Letter to Official Draftsperson
☐ Maintenance Fee Transmittal
☐ Non Publication Request

- ☐ Request For
☐ Status Inquiry
☐ Certificate of Mailing
☒ Express Mail Certificate No. 6290497161545
☐ Certificate under § 3.73(b)
☐ Certificate of Correction (PTO Form 1050)
☐ Issue Fee Transmittal
☐ Fee Transmittal
☐ Petition for
☒ Other: Return Postcard

JC986 U.S. PTO

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09/20/01